Consignee Name/Address

This chapter provides records that allow a consignee name and address to be queried or added.

The Ultimate Consignee Name/Address Query was developed primarily as a means for filers to obtain a number which may be used in cargo release and border cargo release processing.

The Ultimate Consignee Name/Address Add transaction permits ABI filers to supply consignee name/address information to ACS.

RECORD DESCRIPTIONS

A mandatory consignee name/address add input record that allows a consignee name/address to be transmitted in place of a consignee number on a HI/HN entry cargo entry transmission.

A mandatory consignee name/address add input record that allows a consignee name/address to be transmitted in place of a consignee number on a HI/HN entry cargo entry transmission.

A mandatory consignee name/address add input record that allows a consignee name/address to be transmitted in place of a consignee number on a HI/HN entry cargo entry transmission

A conditional consignee name/address add output record that provides data pertaining to the add consignee name/address, error message identifier code and narrative message.

A mandatory consignee name/address add output record that provides data pertaining to the add consignee name/address, disposition action code and action narrative message.

A mandatory consignee name/address query input record that allows a consignee name and address to be queried by sequence number, consignee name, and address (line one).

A mandatory consignee name/address query input record that allows a consignee name and address to be queried by address (line two), city, state, ZIP, and International Organization for Standardization (ISO) country code.

Record Identifier KA (Output).... .... **CON-14**
A conditional consignee name/address query output record that provides data pertaining to the query sequence number, sub-sequence number, consignee number, line one of consignee name, and bond indicator.

Record Identifier KB (Output).... .... **CON-15**
A conditional consignee name/address query output record that provides data pertaining to the query sequence number, sub-sequence number, consignee number, line one of consignee name, and bond indicator.

Record Identifier KC (Output).... .... **CON-16**
A conditional consignee name/address query output record that provides data pertaining to line one of the street, city, state, ZIP and country code of the mailing address.

Record Identifier KD (Output).... .... **CON-17**
A conditional consignee name/address query output record that provides data pertaining to line one and two of the secondary address. This record is only returned if there is a secondary address.

Record Identifier KE (Output).... .... **CON-18**
A conditional consignee name/address query output record that provides data pertaining to the city, state, ZIP, and International Organization for Standardization (ISO) country code for the secondary address.

Record Identifier KF (Output).... .... **CON-19**
A conditional consignee name/address query output record that provides data pertaining to the query sequence number, consignee name, error message identifier code, and narrative message.

Consignee Name/Address

This chapter provides information on consignee name/address query or add records.

Ultimate Consignee Name/Address Query

The Ultimate Consignee Name/Address query was developed primarily as a means for filers to obtain a number which may be used as the ultimate consignee number in cargo release and border cargo release processing when the actual consignee number is not immediately available. For additional information on Cargo Release and Border Cargo Release, refer to the appropriate chapter in this document.

The Consignee Name/Address Query transaction allows a filer to query the Customs ACS Importer File by transmitting the name and address for an ultimate consignee of interest and receiving name and address information plus the consignee identification number.

If there is more than one record on file that matches the name and address queried, multiple records are returned for all matching names and addresses. The filer is responsible for determining whether a particular consignee name and address returned actually represents the consignee of interest. If no records are on file for the name and address queried, an error message is system generated.

The number identifying the ultimate consignee is a Customs-assigned number, Social Security number, or an Internal Revenue Service (IRS) number. In general, an ultimate consignee with a foreign address is identified by a Customs-assigned number; an ultimate consignee with a U.S. address is identified by an IRS or Social Security number. If the consignee number for the name and address being queried is a Customs-assigned number, that number is returned.

The Privacy and Trade Secrets Acts prohibit the U.S. Customs Service from disclosing an actual IRS or Social Security number to a filer because they are considered confidential. Consequently, as a means for filers to obtain a number that may be used as the ultimate consignee number in cargo release and border cargo release processing, ACS uses an algorithm to encrypt the IRS or Social Security number. All encrypted numbers begin with a dash followed by an eleven-position code.

An encrypted number will not be accepted for non-ABI release transactions or entry summary transactions whether or not they are ABI.

The importer of record number for an entry or entry summary cannot be an encrypted number and must be identified by the importer number as shown on the continuous or single transaction bond covering the entry.

The encrypted number does not relieve the filer's obligation to obtain the actual importer identification number of the ultimate consignee for summary reporting purposes.

Input: Record Identifiers K3 and K4 are the mandatory consignee name/address query input records.

The application identifier on Record Identifier B is KN. For additional information on Record Identifier B, refer to the Application Control chapter of this document.

Output: Record Identifiers KA through KF are conditional consignee name/address query output records.

The application identifier on Record Identifier B is KS. For additional information on Record Identifier B, refer to the Application Control chapter of this document.

Ultimate Consignee Name/Address Add

The Ultimate Consignee Name/Address Add transaction permits ABI filers to supply consignee name and address information to ACS and can be used instead of the "ADD CF-5106" (TI) transaction when obtaining the IRS or Social Security Number is not possible. Entry numbers and entry line item sequence numbers for which the provided consignee name and address apply must also be identified.

The subsequent cargo release entry data, whether submitted using application HI or HN, must indicate that consignee name/address information was previously submitted. This is done using the Consignee Name/Address field in the HI or 01 record respectively. Note that use of consignee name/address submissions is not permitted for cargo release entries originating from certified entry summary (EI) data.

Entries that use consignee name/address will not be given a "paperless" cargo release processing result.

If an IRS or Social Security number for the consignee is already on file in ACS, the encrypted version of that number will be returned in the consignee name/address response to be used in the cargo release entry data.

Input: Record Identifiers I3, I4, and I7 are mandatory consignee name/address add input records.

The application identifier in Record Identifier B is IJ. For more information on Record Identifier B, refer to the Application Control chapter of this document.

Output: Record Identifier IA is a conditional consignee name/address add output record. Record Identifier IB is a mandatory consignee name/address add output record.

The application identifier on Record Identifier B is IL. For more information on Record Identifier B, refer to the Application Control chapter of this document.

Record Identifier I3 (Input)

This is a mandatory consignee name/address add input record that allows a consignee name/address to be transmitted in place of a consignee number on a HI/HN entry cargo entry transmission.

Data Element	Length/ Class	Position	Status	Description	Note
Control Identifier	1A	1	M	Must always equal I.	
Record Type	1N	2	M	Must always equal 3.	
Query Sequence Number	5N	3-7	O	A number from *0001* to *9999* representing the sequence number within the block.	
Consignee Name	32X	8-39	M	A name identifying the consignee.	
Address Line One	32X	40-71	M	The street address, Post Office Box number or route numbers.	
Filler	9X	72-80	M	Space fill.	

Record Identifier I3 (Input)

Record Identifier I4 (Input)

This is a mandatory consignee name/address add input record that allows a consignee name/address to be transmitted in place of a consignee number on a HI/HN entry cargo entry transmission.

Data Element	Length/ Class	Position	Status	Description	Note
Record Identifier I4 (Input)					
Control Identifier	1A	1	M	Must always equal I.	
Record Type	1N	2	M	Must always equal 4.	
Address Line Two	32X	3-34	O	The second line of the consignee's mailing address.	
City	21X	35-55	M	The city portion of the consignee's address.	
State Code	2A	56-57	M	The United States Postal Service state abbreviation code.	
Zip	9X	58-66	M	The first 5 positions of the code are mandatory and must be numeric. The last 4 positions of the U.S. Zip code are optional; however, if they are included, they must be numeric.	
Filler	14X	67-80	M	Space fill.	

Consignee Name/Address

Record Identifier I7 (Input)

This is a mandatory consignee name/address add input record that allows a consignee name/address to be transmitted in place of a consignee number on a HI/HN entry cargo entry transmission. This record allows the ABI broker to attach a name and address record to a specific HI/HN transmitted entry number and line item.

Data Element	Length/ Class	Position	Status	Description	Note
Control Identifier	1A	1	M	Must always equal I.	
Record Type	1N	2	M	Must always equal 7.	
District/Port of Entry	4N	3-6	M	A code representing the district/port of entry.	
Entry/Filer Code	3X	7-9	M	A unique code assigned by the U.S. Customs Service to all active entry document preparers. The Entry Filer Code occupies the first three positions of an entry number regardless of where the entry is filed.	
Entry Number	9X	10-18	M	The number assigned to the entry. If the entry number contains less than nine positions, it is right justified.	
Line Item Sequence Number	3N	19-21	O	The record control number begins with 001 and is incremented by one each time a record identifier H% is repeated. This is used to identify which H5 record is associated with this consignee name and address record. If the consignee name and address is associated with all H5 records for the entry number this field is not required.	
Filler	59X	22-80	M	Space fill.	

Record Identifier IA (Output)

This is a conditional consignee name/address add output record that provides data pertaining to the add consignee name/address, error message identifier code and narrative message. This record is returned only when the consignee name and address information provided in IJ transaction matches an existing CF-5106 importer record on file.

Data Element	Length/ Class	Position	Status	Description	Note
Record Identifier IA *(Output)*					
Control Identifier	1A	1	M	Must always equal I.	
Record Type	1A	2	M	Must always equal A.	
Query Sequence Number	5N	3-7	C	A number from 00001 to 99999 representing the sequence number within the block.	
Consignee Number	12X	8-19	M	A code identifying the consignee from the 5106 file.	
Consignee Name	32X	20-51	M	A name identifying the consignee from the 5106 file.	
Disposition Action Date	6N	52-57	M	A numeric date in MMDDYY (month, day, year) format representing the disposition action date.	
Disposition Action Time	4N	58-61	M	The military time in HHMM (hour, minute) format representing the time of the disposition action.	
Query Results Code	1N	62	M	A code representing the results of the query. Valid Query Results Codes are: **Code** **Description** **1** A CF-5106 is on file with a continuous bond. **2** A CF-5106 is on file with no bond. **3** Importer number voided. **4** Importer number is in inactive status.	
Filler	18AN	63-80	M	Space fill.	

Record Identifier IB (Output)

This is a mandatory consignee name/address add output record that provides data pertaining to the add consignee name/address, disposition action code and action narrative message.

Data Element	Length/ Class	Position	Status	Description	Note
Control Identifier	1A	1	M	Must always equal I.	
Record Type	1A	2	M	Must always equal B.	
Entry Filer Code	3AN	3-5	M	A unique code assigned by U.S. Customs that occupies the first three positions of the entry number.	
Entry Number	8AN	6-13	M	The number assigned to the entry.	
Line Item Sequence Number	3N	14-16	M	The number identifying tariff lines from the I7, H5 and 02 records.	
Narrative Message Identifier	3AN	17-19	M	A code identifying the message.	
Narrative Message	40X	20-59	M	A message describing the disposition of the transaction.	
Filler	21AN	60-80	M	Space fill.	

Record Identifier IB (Output)

Record Identifier K3 (Input)

This is a mandatory consignee name/address query input record that allows a consignee name/address to be queried by sequence number, consignee name, and address (line one).

Record Identifier K3 (Input)					
Data Element	**Length/ Class**	**Position**	**Status**	**Description**	**Note**
Control Identifier	1A	1	M	Must always equal K.	
Record Type	1N	2	M	Must always equal 3.	
Query Sequence Number	5N	3-7	M	A number from *00001* to *99999* representing the sequence number within the block.	
Consignee Name	32X	8-39	M	A name identifying the consignee.	
Address Line One	32X	40-71	M	The street address or Post Office Box number.	
Filler	9X	72-80	M	Space fill.	

Consignee Name/Address

User Notes:

Consignee Name/Address   January

Record Identifier K4 (Input)

This is a mandatory consignee name/address query input record that allows a consignee name/address to be queried by address (line two), city, state, ZIP, and International Organization for Standardization (ISO) country code.

Record Identifier K4 (Input)					
Data Element	**Length/ Class**	**Position**	**Status**	**Description**	**Note**
Control Identifier	1A	1	M	Must always equal K.	
Record Type	1N	2	M	Must always equal 4.	
Address Line Two	32X	3-34	O	The second line of the consignee's mailing address. If the address is a foreign address, space fill.	
City	21X	35-55	M	The city portion of the consignee's address.	
State Code	2A	56-57	M	The United States Postal Service state abbreviation code or the Canadian province postal code. For all other foreign addresses, the code is *FN*.	1
ZIP	9AN	58-66	C	If the address is an U.S. address, the first 5 positions of the code are mandatory and must be numeric. The last 4 positions of the U.S. ZIP code are optional; however, if they are included they must be numeric. For a Canadian address, the Canadian Postal Code in ANANAN (where A = alphabetic and N = numeric) format. For a Mexican address, space fill. For all other foreign addresses, use an up-to-9-position character code.	
International Organization for Standardization (ISO) Country Code	2A	67-68	C	The International Organization for Standardization (ISO) country code. Valid ISO Country Codes are listed in Appendix B. For an U.S. address, space fill.	
Filler	12X	69-80	M	Space fill.	

Note 1

Valid Canadian Province/Territory codes are:

Code	Description
AB	Alberta
NB	New Brunswick
BC	British Columbia
MB	Manitoba
NS	Nova Scotia
ON	Ontario
PE	Prince Edward Island
PQ	Quebec
SK	Saskatchewan
NT	Northwest Territories
NT	Nunavut
NF	Newfoundland
YT	Yukon Territory

Record Identifier KA (Output)

This is a conditional consignee name/address query output record that provides data pertaining to the query sequence number, sub-sequence number, consignee number, consignee name, and bond indicator.

Record Identifier KA (Output)					
Data Element	**Length/ Class**	**Position**	**Status**	**Description**	**Note**
Control Identifier	1A	1	M	Must always equal K.	
Record Type	1A	2	M	Must always equal A.	
Query Sequence Number	5N	3-7	M	The sequence number as input on Record Identifier K3 that corresponds to the individual name/address queried.	
Subsequence Number	2N	8-9	M	A sequential number incremented by one (01 to 99) for each match against the queried name and address.	
Consignee Number	12X	10-21	M	A code identifying the consignee.	1
Line One of Consignee Name	32X	22-53	M	The name of the ultimate consignee.	
Bond Indicator	1N	54	M	A code of *0* (no active bond) or *1* (active continuous bond on file).	
Filler	26X	55-80	M	Space fill.	

Note 1

Valid formats for the Ultimate Consignee are:

YYDDPP-NNNNN   Customs Assigned Number
-CCCCCCCCCCC   Encrypted Number

In these codes, *N* = number, *C* = character, *YY* = the last two digits of the calendar year when the number is assigned, and *DDPP* = the district/port code where the number is assigned.

Note 1 - Continued

If the ultimate consignee number on file is either an Internal Revenue Service (IRS) number or a Social Security number, an encrypted number is returned.

Record Identifier KB (Output)

This is a conditional consignee name/address query output record that provides data pertaining to the second line of the importer name and mailing address.

Data Element	Length/ Class	Position	Status	Description	Note
Control Identifier	1A	1	M	Must always equal K.	
Record Type	1A	2	M	Must always equal B.	
Name Qualifier	3A	3-5	C	A code of *DBA* (doing business as), *DIV* (Division), or *AKA* (also known as).	
Line Two of Consignee Name	32X	6-37	C	The second line of the ultimate consignee name associated with the Name Qualifier in positions 3-5.	
Line Two of Mailing Address	32X	38-69	C	The second line of the ultimate consignee's mailing address.	
Filler	11X	70-80	M	Space fill.	

The table title *Record Identifier KB (Output)* appears as a header row above the column headers.

Consignee Name/Address

Record Identifier KC (Output)

This is a conditional consignee name/address query output record that provides data pertaining to the street address and city, state, ZIP and country code of the mailing address.

Data Element	Length/ Class	Position	Status	Description	Note
Record Identifier KC (Output)					
Control Identifier	1A	1	M	Must always equal K.	
Record Type	1A	2	M	Must always equal C.	
Filler	12X	3-14	M	Space fill.	
Line One of Mailing Address	32X	15-46	M	The Post Office Box number or street number and name of the mailing address.	
City, Mailing Address	21X	47-67	M	The city portion of the consignee's mailing address.	
State, Mailing Address	2A	68-69	M	The United States Postal Service state abbreviation code or the Canadian province postal code. For all other foreign addresses, the code is *FN*.	1
ZIP, Mailing Address	9AN	70-78	C	The ZIP code as established by the U.S. Postal Service, Canadian Postal routing code in ANANAN format (where A = alphabetic and N = numeric), or other foreign postal routing code.	
ISO Country Code, Mailing Address	2A	79-80	C	The International Organization for Standardization (ISO) country code identifying the mailing address country. Valid ISO country codes are listed in Appendix B.	

Note 1

Valid Canadian Province/Territory codes are:

Code	Description
AB	Alberta
NB	New Brunswick
BC	British Columbia
MB	Manitoba
NS	Nova Scotia
ON	Ontario
PE	Prince Edward Island

Note 1 - Continued

Code	Description
PQ	Quebec
SK	Saskatchewan
NT	Northwest Territories
NT	Nunavut
NF	Newfoundland
YT	Yukon Territory

Consignee Name/Address

Record Identifier KD (Output)

This is a conditional consignee name/address query output record that provides data pertaining to line one and two of the secondary address. This record is only returned if there is a secondary address.

Record Identifier KD (Output)					
Data Element	**Length/ Class**	**Position**	**Status**	**Description**	**Note**
Control Identifier	1A	1	M	Must always equal K.	
Record Type	1A	2	M	Must always equal D.	
Line One of Secondary Address	32X	3-34	M	Line one of the business address if different from the mailing address.	
Line Two of Secondary Address	32X	35-66	C	Additional mailing address information if different from the mailing address.	
Filler	14X	67-80	M	Space fill.	

Record Identifier KE (Output)

This is a conditional consignee name/address query output record that provides data pertaining to city, state, ZIP, and International Organization for Standardization (ISO) country code for the secondary address.

Record Identifier KE (Output)					
Data Element	**Length/ Class**	**Position**	**Status**	**Description**	**Note**
Control Identifier	1A	1	M	Must always equal K.	
Record Type	1A	2	M	Must always equal E.	
City, Secondary Address	21X	3-23	M	The city portion of the business address if different from the mailing address.	
State, Secondary Address	2A	24-25	M	The U.S. Postal Service state code, Canadian Province/Territory code, or *FN* for any other foreign business address.	1
ZIP, Secondary Address	9AN	26-34	C	The ZIP code as established by the U.S. Postal Service, Canadian Postal routing code in ANANAN format (where A = alphabetic and N = numeric), or other foreign postal routing code.	
ISO Country Code, Secondary Address	2A	35-36	C	The International Organization for Standardization (ISO) country code of the business address if different from the mailing address. Valid ISO codes are listed in Appendix B.	
Filler	44X	37-80	M	Space fill.	

Note 1

Valid Canadian Province/Territory codes are:

Code **Description**

AB Alberta
NB New Brunswick
BC British Columbia
MB Manitoba
NS Nova Scotia
ON Ontario
PE Prince Edward Island

Note 1 - Continued

Code **Description**

PQ Quebec
SK Saskatchewan
NT Northwest Territories
NT Nunavut
NF Newfoundland
YT Yukon Territory

Record Identifier KF (Output)

This is a conditional consignee name/address query output record that provides data pertaining to the query sequence number, consignee name, error message identifier code, and narrative message.

Data Element	Length/ Class	Position	Status	Description	Note
Record Identifier KF (Output)					
Control Identifier	1A	1	M	Must always equal K.	
Record Type	1A	2	M	Must always equal F.	
Query Sequence Number	5N	3-7	M	The sequence number as input on Record Identifier K3 that corresponds to the individual name/address query.	
Consignee Name	32X	8-39	M	The same consignee name as input on Record Identifier K3.	
Error Message Identifier Code	3X	40-42	M	The message identifier code associated with the accompanying narrative message.	
Narrative Message	33X	43-75	M	A narrative message indicating the error condition or the fact that there were no matches found for the queried name/address combination.	
Filler	5X	76-80	M	Space fill.	

User Notes:

Consignee Name/Address